

**Decision Maker:** **AUDIT AND RISK MANAGEMENT COMMITTEE**

**Date:** **Tuesday 4 July 2023**

**Decision Type:** Non-Urgent                      Non-Executive                      Non-Key

**Title:** **RISK MANAGEMENT**

**Contact Officer:** Francesca Chivers, Head of Audit and Assurance  
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**Chief Officer:** Director of Finance

**Ward:** (All Wards);

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1. Reason for decision/report and options

This report provides Members of the Audit and Risk Management Committee with the most recent iteration of the Corporate Risk Register for review and comment. It also provides summary heat maps for Departmental Risk Registers.

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2. **RECOMMENDATIONS**

**Members are asked to note the Corporate Risk Register and Departmental Heat Maps and comment on any matters arising.**

### Impact on Vulnerable Adults and Children

1. Summary of Impact: The Adults Care and Health Risk heat map is attached as Appendix C. The Children, Education and Families Risk heat map is attached as Appendix D.
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### Transformation Policy

1. Policy Status: Not Applicable:
  2. Making Bromley Even Better Priority  
To manage our resources well, providing value for money, and efficient and effective services for Bromley's residents.
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### Financial

1. Cost of proposal: Not Applicable
  2. Ongoing costs: Not Applicable
  3. Budget head/performance centre: Not Applicable
  4. Total current budget for this head: £ Not Applicable
  5. Source of funding: Not Applicable
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### Personnel

1. Number of staff (current and additional): Not Applicable
  2. If from existing staff resources, number of staff hours: Not Applicable
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### Legal

1. Legal Requirement: None:
  2. Call-in: Not Applicable:
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### Procurement

1. Summary of Procurement Implications: Where applicable, the risk category is reflected as Contractual and Partnership.
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### Property

1. Summary of Property Implications: Where applicable, the risk category is reflected as 'Physical', which includes hazards/risks associated with buildings.
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### Carbon Reduction and Social Value

1. Summary of Carbon Reduction/Sustainability Implications: Where applicable, the risk category is reflected as Environmental.
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### Impact on the Local Economy

1. Summary of Local Economy Implications: None
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### Impact on Health and Wellbeing

1. Summary of Health and Wellbeing Implications: None
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### Customer Impact

1. Estimated number of users or customers (current and projected): This report is intended primarily for the benefit of members of the Audit and Risk Management Committee.
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### Ward Councillor Views

1. Have Ward Councillors been asked for comments? Not Applicable
2. Summary of Ward Councillors comments: Not Applicable

### 3. COMMENTARY

- 3.1 This report forms part of the standard six-monthly risk reporting to Audit and Risk Management Committee. As agreed at the meeting of 30<sup>th</sup> June 2022, the Corporate Risk Register is presented in full as **Appendix B** whilst the departmental risk registers are summarised as heat maps (**Appendices C to J**). Each heat map shows the **net** score for each risk (after controls have been applied). The risk assessment guidance is attached at **Appendix A**. The individual risks and their gross and net scores are listed underneath for reference. Full Departmental Risk Registers will subsequently be presented to the respective Policy, Development and Scrutiny (PDS) Committees.
- 3.2 Whilst Internal Audit coordinates the risk management process and reports to Audit and Risk Management Committee, each Director retains responsibility for the risks and controls within their division. Similarly, the Corporate Risk Register is owned by Corporate Leadership Team as a collective and each risk has an accountable owner. The Corporate Risk Register is reviewed on a quarterly basis by Chief Officer Executive and Corporate Leadership Team.
- 3.3 The Corporate Risk Management Group (CRMG) meets three times per year to scrutinise the risk registers and discuss cross-cutting risk areas. CRMG is made up of representatives from each division together with officers in key risk-related roles, such as Health and Safety, Business Continuity and Insurance. Membership of the CRMG was refreshed early in 2022 to ensure representation at an appropriately senior level. The CRMG met most recently on 24th May 2023.
- 3.4 The risk registers were last presented to Audit and Risk Management Committee in November 2022. Key changes to the Corporate Risk Register (**Appendix B**) since then are as follows:

The following risks have been **added** to the Corporate Risk Register:

- Recruitment and Retention (Net Score High)
- Accommodation Move to Direct Line Building (Net Score High)
- Operational Property Repair (Net Score Significant)
- Operational Property Repair budget (Net Score Significant)

The following risks have been **removed** from the Corporate Risk Register as the associated causes and effects have largely been superseded:

- Failure to manage change and maintain an efficient workforce to ensure that MBEB priorities are met (Net score Medium)
- Failure to achieve partial integration of Health and Social Care (net score Low)

The following **net** score has **increased**:

- Failure to maintain and develop ICT information systems to reliably support departmental service delivery - net risk increased from 6 to 8 (both Medium)

The following **net** scores have **decreased**:

- Failure to deliver effective Children's Services – net score reduced to 10 from 12 (both Significant)
- Capital Financing Shortfall - net score reduced from 20 (High) to 12 (Significant)
- Health and Safety (Fire and First Aid) – net score reduced from 20 to 15 (both High)

- 3.5 Additionally, causes, effects, controls and actions have been amended and updated for the majority of risks to better reflect the current situation and the arrangements that are in place.

#### **4. IMPACT ON VULNERABLE ADULTS AND CHILDREN**

4.1 The Adults Care and Health risk heat map is attached as Appendix C. The Children, Education and Families risk heat is attached as Appendix D.

#### **5. TRANSFORMATION/POLICY IMPLICATIONS**

5.1 Where applicable, the risk category is reflected as 'Financial, Operational'.

#### **6. FINANCIAL IMPLICATIONS**

6.1 The Finance risk heat map is attached as Appendix G. Where applicable, the risk category in all registers is reflected as 'Financial, Operational'.

#### **7. PERSONNEL IMPLICATIONS**

7.1 The Human Resources risk heat map is attached as Appendix I. Where applicable, the risk category in all risk registers is reflected as 'Personnel, Operational'.

#### **8. LEGAL IMPLICATIONS**

8.1 Where applicable, the risk category is reflected as 'Legal, Operational'.

#### **9. PROCUREMENT IMPLICATIONS**

9.1 Where applicable, the risk category is reflected as 'Contractual and Partnership'.

#### **10. PROPERTY IMPLICATIONS**

10.1 Where applicable, the risk category is reflected as 'Physical, Operational', which includes hazards/risks associated with buildings.

#### **11. CARBON REDUCTION/SOCIAL VALUE IMPLICATIONS**

11.1 Where applicable, the risk category is reflected as Environmental.

<b>Non-Applicable Headings:</b>	Customer Impact Ward Councillor Views Impact on the Local Economy Impact on Health and Wellbeing
Background Documents: (Access via Contact Officer)	None